

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Prasanta HALDER et al.
Title: DEVICE FOR EXCHANGING HEAT
Appl. No.: 10/552,279
International Filing Date: 3/22/2004
371(c) Date: 10/30/2006
Examiner: John K FORD
Art Unit: 3744
Confirmation Number: 4027

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to

antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

Documents A4-A7 listed on the attached PTO/SB/08 were cited as being relevant during the prosecution of the corresponding German patent application. A copy of the German Search Report is attached setting forth the portion of each reference considered relevant by the examiner.

Documents A1 and A7 belong to the same “patent family,” whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Documents A8 and A9 listed on the attached PTO/SB/08 were cited in a Japanese Office Action issued in a counterpart Japanese application on September 15, 2009. A copy of the Japanese Office Action is attached.

A copy of JP Patent Publication No. 10-119545 cited in the Japanese Office Action is not being provided since it was previously submitted to the United States Patent & Trademark Office in the above-identified application on October 4, 2005.

Documents A2 and A8 belong to the same “patent family,” whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Documents A3 and A9 belong to the same "patent family," whereby the English language document may assist the PTO in understanding the content of the non-English language document.

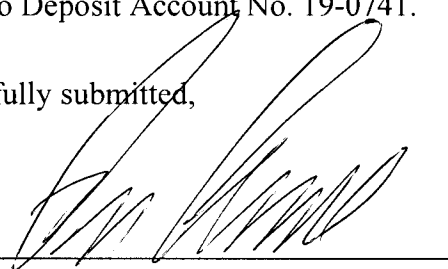
Unless otherwise indicated, no English translation is readily available (not considering machine-generated translations that may be freely available online, to both the Applicants and the PTO) for each of the non-English language documents. However, a commercially available English language abstract is provided herewith, where indicated on the attached Form PTO/SB/08. Inasmuch as Applicants have endeavored to provide at least one item that complies with the requirement for a "concise explanation of relevance" for each of the non-English language documents, each of these documents has been submitted in compliance with the PTO requirements and should be considered by the Examiner (37 CFR §1.97, §1.98 and MPEP §609).

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicants believe that no fee is required, the Commissioner is hereby authorized to charge any additional fees which may be due to Deposit Account No. 19-0741.

Respectfully submitted,

By



Date: October 19, 2009

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